

NOV 22 2005

PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL  
FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number	09/918,408
Filing Date	July 30, 2001
First Named Inventor	G. Michael Morris and Tasso R. M. Sales
Art Unit	1756
Examiner Name	J. McPherson

Attorney Docket Number

RPC-1US

## ENCLOSURES (Check all that apply)

- Fee Transmittal Form  
 Fee Attached
- Amendment/Reply  
 After Final  
 Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Reply to Missing Parts/  
Incomplete Application  
 Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

- Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation  
 Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_  
 Landscape Table on CD

- After Allowance Communication to TC  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):  
 Letter (1 page)

## Remarks Mail Stop Issue Fee

## Papers transmitted herewith:

1. Transmittal Form (PTO/SB/21; 1 page; this page)
2. Fee Transmittal (PTO/SB/17; 1 page)
3. Letter (1 page)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name  
Maurice M. Klee, Ph.D.Signature  
*Maurice Klee*Printed name  
Maurice M. Klee, Ph.D.

Date 11/22/05 Reg. No. 30,399

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature  
*Maurice Klee*Typed or printed name  
Maurice M. Klee, Ph.D. Date 11/22/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

NOV 22 2005

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2008. OMB 0651-0032

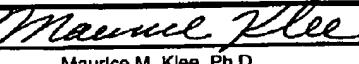
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1986, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<b>Effective on 12/08/2004.</b> <b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b>		<b>Complete If Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Application Number	09/918,408
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	July 30, 2001
<b>TOTAL AMOUNT OF PAYMENT</b> (\$)		First Named Inventor	G. Michael Morris
<b>\$180.00</b>		Examiner Name	J. McPherson
		Art Unit	1756
		Attorney Docket No.	RPC-1US

<b>METHOD OF PAYMENT</b> (check all that apply)					
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____					
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 11-1158 Deposit Account Name: Maurice M. Klee, Ph.D.					
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)					
<input checked="" type="checkbox"/> Charge fee(s) indicated below			<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17			<input checked="" type="checkbox"/> Credit any overpayments		
<small>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</small>					

<b>FEE CALCULATION</b>									
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>									
<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>					
<b>Application Type</b>	<b>Small Entity</b>	<b>Small Entity</b>	<b>Small Entity</b>	<b>Small Entity</b>	<b>Fees Paid (\$)</b>				
Utility	300	150	500	250	200	100			
Design	200	100	100	50	130	65			
Plant	200	100	300	150	160	80			
Reissue	300	150	500	250	600	300			
Provisional	200	100	0	0	0	0			
<b>2. EXCESS CLAIM FEES</b>						<b>Small Entity</b>			
<b>Fee Description</b>						<b>Fee (\$)</b>			
Each claim over 20 (including Reissues)						50			
Each independent claim over 3 (including Reissues)						200			
Multiple dependent claims						360			
<b>Total Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<b>Multiple Dependent Claims</b>				
- 20 or HP =		x	= _____	Fee (\$)	Fee (\$)				
<small>HP = highest number of total claims paid for, if greater than 20.</small>						<small>Fee (\$)</small>			
<b>Indep. Claims</b>		<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>	<small>Fee (\$)</small>				
- 3 or HP =		x	= _____	<small>Fee (\$)</small>					
<small>HP = highest number of independent claims paid for, if greater than 3.</small>						<small>Fee (\$)</small>			
<b>3. APPLICATION SIZE FEE</b>						<b>Fee (\$)</b>			
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						Fee (\$)			
<small>Total Sheets</small>						<small>Extra Sheets</small>	<small>Number of each additional 50 or fraction thereof</small>	<small>Fee (\$)</small>	<small>Fee Paid (\$)</small>
<small>- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____</small>						<small>Fee (\$)</small>			
<b>4. OTHER FEE(S)</b>						<b>Fee Paid (\$)</b>			
Non-English Specification, \$130 fee (no small entity discount)						<small>Fee under 37 CFR 1.17(p) for submission of an</small>			
<small>Other (e.g., late filing surcharge): Information Disclosure Statement</small>						<small>\$180.00</small>			

<b>SUBMITTED BY</b>	
<b>Signature</b>	
<b>Name (Print/Type)</b>	Maurice M. Klee, Ph.D.
<b>Registration No. (Attorney/Agent)</b>	30,399
<b>Telephone</b>	(203) 255-1400
<b>Date</b>	
11/22/05	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

09918408

11/25/2005 SFELEKE1 00000005 11158

01 FC-1806 180.00 DA

NOV 22 2005

[266] Attorney Docket No. : RPC-1US

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : G. Michael Morris and Tasso R. M. Sales  
 Serial No. : 09/918,408  
 Filed : July 30, 2001  
 For : STRUCTURED SCREENS FOR CONTROLLED SPREADING  
 OF LIGHT  
 Examiner : J. McPherson  
 Group : 1756

LETTER

On November 7, 2005, the undersigned submitted a Supplemental Information Disclosure Statement (IDS) and a Modified 1449 Form that listed, among other things, Japanese Patent Publication No. 2001-074918 (the '918 publication) and a computer translation of this publication. The IDS contained a certification under 37 CFR §1.97(e)(2).

Although believed to be true at the time the certification was made, subsequent to the submission, the undersigned found that he had a copy of the '918 publication and an English abstract for the publication more than three months before the submission, but not of the translation which had prompted the submission. Accordingly, enclosed is an authorization to charge the fee specified in 37 CFR §1.17(p) to Deposit Account No. 11-1158.

Respectfully submitted,

Date: 11/22/05

Maurice Klee

Maurice M. Klee, Ph.D.  
 Reg. No. 30,399  
 Attorney for Applicant  
 1951 Burr Street  
 Fairfield, CT 06824  
 (203) 255-1400